RIDGEWAY & CONGER, INC.

CUSTOMER ORDER TICKET

☐ GTC
☐ DAY

SELL

☐ CALL
☐ PUT

☐ SELL SHORT

☐ LONG A/C
☐ CUST. POSS.

CUSTOMER ACCOUNT NUMBER | | | | | | | | | TYPE

EXECUTED

QUANTITY

SYMBOL OR DESCRIPTION

LMT OR MKT

☐ SOLICITED
☐ UNSOLICITED
☐ DISCRETIONARY

REP. NO.

TYPE ACCT.

☐ 1. CASH
☐ 2. MARGIN
☐ 4. WHEN ISSUED
☐ 5. NON-PURPOSE LOAN
☐ 6. SHORT
☐ 7. RESTRICTED STOCK
☐ 8. EXEMPT BOND
☐ 9. SPECIAL PRODUCT

☐ STOP
☐ STOP LIMIT
☐ DNR
☐ FOK
☐ AON

EXECUTED PRICE

OPTION
☐ OPEN ☐ COVERED
☐ CLOSE ☐ UN-COVERED

CAPACITIES

☐ 1. AGENT FOR YOUR ACCT.
☐ 2. AGENT FOR SELLER
☐ 3. PRINCIPAL
☐ 4. PRINCIPAL WITH MARK UP/DOWN

TRADE DATE

TIME ACCEPTED

SETTLEMENT DATE

TIME ENTERED

COMMISSION OPTIONS
☐ FLAT RATE
☐ C - CENTS PER SHARE
☐ P - PERCENT OF DISCOUNT
☐ Z - NET NO

SPECIAL COMMISSION

SPECIAL INSTRUCTIONS