

# RIDGEWAY & CONGER, INC.

♦ 2123 MAIN STREET ♦ PO Box 460 ♦ NEW WOODSTOCK, NY 13122  
♦ 315.662.7450 ♦ FAX 315.662.7198

## FORM B – PROCESS AS A TRADE

### REQUEST FOR DISPOSITION OF A NON-TRANSFERABLE WORTHLESS SECURITY

#### I. ACCOUNT INFORMATION

Account Number: \_\_\_\_\_

Account Title: \_\_\_\_\_

#### II. SECURITY INFORMATION

Description: \_\_\_\_\_

CUSIP Number: \_\_\_\_\_

Quantity: \_\_\_\_\_

I am currently the owner of the above security. I wish to sell the above position for total proceeds of one dollar for the entire position. Please effect my sale, which will generate a confirmation to me. I understand that Legent Clearing LLC will be the buyer in this transaction and that Legent Clearing LLC makes no representation about the value, if any, of this security.

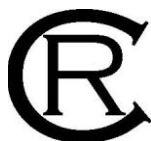
I understand that this position may have no value or that the value is less than the cost of transacting a trade. I confirm that the removal of position from my account is final and irrevocable, and I am forgoing the possibility of gain in the event this security ever has any future value. I hereby waive any future claim I have concerning these securities.

Account Holder(s) Signature: \_\_\_\_\_ Date: \_\_\_\_\_

\_\_\_\_\_ Date: \_\_\_\_\_

Correspondent Signature: \_\_\_\_\_ Date: \_\_\_\_\_

\_\_\_\_\_



MEMBER FINRA/SIPC