

# RIDGEWAY & CONGER, INC.

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## FORM A – PROCESS AS A JOURNAL

### REQUEST FOR DISPOSITION OF A NON-TRANSFERABLE WORTHLESS SECURITY

#### I. ACCOUNT INFORMATION

Account Number: \_\_\_\_\_

Account Title: \_\_\_\_\_

#### II. SECURITY INFORMATION

Description: \_\_\_\_\_

CUSIP Number: \_\_\_\_\_

Quantity: \_\_\_\_\_

I am currently the owner of the above security. I am requesting the above position be removed from my account. I understand that this position may have no value or that the value is less than the cost of transacting a trade.

I confirm that the removal of position from my account is final and irrevocable, and I am forgoing the possibility of gain in the event this security ever has any future value. I hereby waive any future claim I have concerning these securities.

Legent Clearing LLC makes no representation about the value, if any, of this security.

Account Holder(s) Signature: \_\_\_\_\_ Date: \_\_\_\_\_

\_\_\_\_\_ Date: \_\_\_\_\_

Correspondent Signature: \_\_\_\_\_ Date: \_\_\_\_\_

*You may use this letter for your income taxes if it is appropriate for you to do so. Please contact your tax advisor with any questions if using this letter for income tax purpose.*



MEMBER FINRA/SIPC