

**Account Guarantee Form
Legent Clearing, LLC**

In order to induce Legent Clearing LLC and/or its affiliates collectively, (the "Company") to enter into the Customer Agreement with _____ ("Customer"), and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned hereby and, in the case of multiple grantors, jointly and severally, personally guarantee(s) the prompt, full and complete performance of any and all of the duties and obligations of Customer to the Company and the payment of any and all indebtedness, damages, costs and expenses due to the Company from Customer.

This Account Guarantee (the "Guarantee") shall remain in full force and effect until the termination of all agreements between Customer and the Company; provided, however, that the undersigned shall not be released from his/her obligations hereunder so long as any claim of the Company against Customer is not settled or discharged in full.

The undersigned hereby expressly waives (a) notice of acceptance of this Guarantee by the Company, (b) notice of non-performance of Customer of any of its duties or obligations, (c) notice of any modification to any agreement between Customer and the Company or any extension of time granted to the Customer, and (d) all defenses, offsets and counterclaims which the undersigned may at any time have to any claim of the Company against the Customer.

The Company may in its discretion proceed against the undersigned, jointly and severally, to collect any obligation covered by this Guarantee without first proceeding against Customer or any other guarantor. Upon five days notice by the Company, the undersigned shall pay any and all indebtedness, damages, costs and expenses due to the Company from Customer and shall perform any and all duties and obligations of Customer to the Company.

This Guarantee shall be construed pursuant to the laws of the State of New York without regard to any of its conflicts of laws, principles or rules, and shall inure to the benefit of the Company, its successors and assigns, and shall be binding on the undersigned and his/her heirs and assigns. The undersigned cannot assign this Guarantee without the express written consent of the Company.

Any notice to be given to the undersigned may be sent to the address provided below, whether by mail, messenger, or otherwise, and shall be deemed given to the undersigned and personally whether or not actually received.

All actions or proceedings with respect to any controversy arising out of this Guarantee shall be determined by arbitration conducted before the NASD in accordance with its arbitration rules then in force. You specifically agree, however, as permitted by statute and/or regulation, to arbitrate all such controversies before the NASD in New York City, New York. Your consent to jurisdiction by the NASD where any claim is initiated by us and against you. Judgment upon any award of the arbitrators may be entered in any court, state or federal, having jurisdiction thereof. If you are a foreign national, non-resident alien, or if you do not reside in the United States, you affirmatively agree to waive your right to file an action against us in any foreign venue other than with the NASD as set forth above.

The undersigned shall accept court service of process by registered or certified mail addressed to the address provided below or such other address as the undersigned has supplied to the Company in writing and such service shall constitute personal service of such process. The undersigned waives any right the undersigned may have to transfer or change the venue of any litigation brought against the undersigned by the Company.

All monies, securities, negotiable instruments, open positions on futures contracts, option premiums, commodities or other property of any kind or nature whatsoever, now or at any future time on deposit with the Company in the undersigned's accounts are hereby pledged with the Company and shall be subject to a security interest in the Company's favor for the discharge of all of the undersigned's obligations hereunder, and the Company may, in its discretion, transfer any of such property from any of the undersigned's accounts to the account of Customer when in the Company's sole discretion and judgment such transfer is necessary or appropriate.

Each of the undersigned acknowledges his/her understanding that the Company has allowed the Customer to trade his/her account in reliance upon this Guarantee.

Signature of Guarantor

Printed Name

Social Security Number

Street Address

City State ZIP Code

Account Number of Guaranteed Account

Title of Guaranteed Account

Account Number of Guaranteed Account (if another)

Title of Guaranteed Account (if another)