

# RIDGEWAY & CONGER, INC.

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## MUTUAL FUND SWITCH ACKNOWLEDGEMENT

In consideration of liquidating \_\_\_\_\_  
mutual fund and using the proceeds to purchase \_\_\_\_\_  
\_\_\_\_\_ mutual fund I hereby confirm that I am making this transaction  
because (please check all that apply):

- A change in my investment objectives makes the new fund more suitable
- Performance of fund being liquidated did not meet expectations
- A desire to rebalance my portfolio
- Other:

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I have reviewed and completed the Mutual Fund Disclosure Document which outlines the sales charge that applies to the new purchase. I have been informed that an exchange privilege exists within the fund family being liquidated but believe that the investment prospects of the new fund make this transaction appropriate.

Customer Signature \_\_\_\_\_ Date: \_\_\_\_\_

Rep Signature \_\_\_\_\_ Date: \_\_\_\_\_



MEMBER FINRA/SIPC